

List of Key Approved and Recognised Financial Regulators & Exchanges

S/ NO	COUNTRY/ REGION	ACRONYM	FULL TITLE OF REGULATORY BODY	LISTED/COMMODITIES EXCHANGE
1	Australia	APRA ASIC ASE	Australian Prudential Regulation Authority	Australian Securities Exchange Limited Australian Stock Exchange
2	Austria	FMA VSE	Austrian Financial Market Authority Vienna Stock Exchange	Vienna Stock Exchange
3	Belgium	CBFA LIFFE Brussels	Commission Bancaire, Financiere et des Assurances	Euronext Bruxelles
4	Canada	OSC	Ontario Securities Commission	Bourse De Montreal Inc
5	*China	CSRC	China Securities Regulatory Commission	
6	Denmark		Finanstilsynet Dansk Autoriseret Markedsplads	Copenhagen Stock Exchange Nasdaq OMX Copenhagen A/S
7	Dubai	DFSA	Dubai Financial Services Authority	
8	Finland	FIVA FIN-FSA	Finnish Financial Supervisory Authority Finansinspektionen	Helsinki Stock Exchange Nasdaq OMX Helsinki
9	France	AMF	Authorite des Marches	Bluenext Eurolist by Euronext Paris Euronext Paris Matif Euronext Paris Monep LCH SA
10	Germany	GFSA - BaFIN	German Financial Supervisory Authority (BAFIN)	Berlin Stock Exchange Boerse Berlin Boerse Berlin Equiduct Trading Boerse Muenchen Duesseldorfer Boerse Duesseldorfer Boerse Quotrix Dusseldorf Stock Exchange Eurex Clearing AG Eurex Deutschland European Energy Exchange Frankfurt Stock Exchange Frankfurter Wertpapierboerse Tradegate Exchange
11	Greece	HCMC	Hellenic Capital Market Commission	Athens Exchange Derivatives Market Athens Exchange Securities Market Athens Stock Exchange Electronic Secondary Securities Market
12	Hong Kong	SFC (HK)	Securities and Futures Commission	Hong Kong Exchanges & Clearing Limited Hong Kong Stock Exchange
13	Iceland	FME	Financial Supervisory Authority	Icelandic Stock Exchange OMX Nordic Exchange Iceland Hf
14	Ireland	IFSRA	Financial Regulator	Irish Stock Exchange
15	Italy	BoI&C	Bank of Italy & Consob	AB Nasdaq OMX Vilinius Bond Vision market Borsa Italiana Electronic Bond Market Electronic Open-End Fund Market Electronic Share Market Italian Derivatives Market MIV – Market for Investment Vehicles MTS Corporate Market MTS Government Market Securities Derivatives Market
16	Japan	FSAJ	Financial Services Agency	Osaka Securities Exchange Osaka Stock Exchange Tokyo International Financial Futures Exchange Tokyo Stock Exchange

17	Jersey	JFSC	Jersey Financial Services Authority	
18	Korea (South)	GFSS	The Governor of the Financial Supervisory Service	
19	Luxembourg	CSSF	Commission de Surveillance de Secteur Financier	Luxembourg Stock Exchange
20	Netherlands (Holland)	AFM	Autoriteit Financiële Markten	Euronext Euronext Com – Commodities Futures & Options Euronext Eqf – Equities & Indices Derivatives Euronext Irf – Interest rate Future & Options European Energy Derivatives Exchange NV European Multilateral Clearing Facility NV NYSE Euronext – Euronext Amsterdam
21	Norway	FN	Finanstilsynet Norway	Bergen Stock Exchange Fish Pool ASA International Maritime Exchange ASA Nord Pool ASA Oslo Axess Oslo Bors ASA Oslo Stock Exchange
22	Poland	KNUiFE	Commission on Supervision of Insurance and Pension Funds	
23	Portugal	CMVM	Comissao do Mercado de Valores Mobiliarios Banco de Portugal	Eurolist by Euronext. Mercado Especial De Divida Publica Mercado Regulamentado De Derivados Do Mibel NYSE Euronext – Mercado De Futuros E Opcoes
24	Singapore	MAoS	Monetary Authority of Singapore	Singapore Stock Exchange
25	South Africa	SARB FSB NCR PA FSCA	South African Reserve Bank Financial Services Board National Credit Regulator Prudential Authority Financial Sector Conduct Authority	Johannesburg Stock Exchange South African Futures Exchange
26	Spain	CNMV	Comision Nacional Del Mercado de Valores	Barcelona Stock Exchange Bilbao Stock Exchange Madrid Stock Exchange Valencia Stock Exchange
27	Sweden		Finansinspektionen	Nasdaq OMX Stockholm AB Nordic Growth Market Ngm AB Stockholm Stock Exchange
28	Switzerland	FINMA	Swiss Financial Market Supervisory Authority	EUREX (Zurich) SIX Swiss Exchange AG SIX X-Clear
29	Taiwan	FSC	Financial Supervisory Commission	
30	United Kingdom	FCA PRA BoE PANEL FPC FRC	Financial Conduct Authority Prudential Regulatory Authority Bank of England Panel of Takeovers & Mergers Financial Policy Committee Financial Reporting Council	CME Clearing Europe Limited Euroclear UK & Ireland Limited European Central Counterparty Limited ICE Clear Europe Limited ICE Futures Europe Intercontinental Exchange – ICE Futures Europe LCH.Clearnet Limited London Stock Exchange NYSE Euronext London Options Exchanges (LIFFE) Plus Stock Exchange The London Metal Exchange
31	United States of America	FINRA OCC SEC CFTC FED FDIC FINRA NCUA CFPB NAIC NFA	Financial Industry Regulatory Authority Office of the Comptroller of the Currency Security and Exchange Commission Commodity Futures Trading Commission Federal Reserve System Federal Deposit Insurance Corporation Federal Industry Regulatory Authority National Credit Union Administration Consumer Financial Protection Bureau National Association of Insurance Commissioners National Futures Association *In addition, each US state has its own banking authority.	American Stock Exchange Boston Stock Exchange Chicago Board of Trade (CBOT) Chicago Board Options Exchange Chicago Mercantile Exchange (CME) Chicago Stock Exchange ICE Clear US Inc ICE Futures UC Inc Mid America Commodity Exchange NASDAQ New York Futures Exchange NYMEX (New York Mercantile Exchange Inc) NYSE NYSE LIFFE US Philadelphia Stock Exchange